

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shrenik Deliwala et al. :  
Serial No.: 09/991,371 : Attorney Docket No.: 053168-5003-02  
Filed: November 10, 2001 :  
For: Anisotropic Etching of Optical :  
Components :

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Filing Date Granted mailed December 7, 2002, enclosed are the following:

1. Executed Combined Declaration and Power of Attorney;
2. Two Assignments and Two Recordation Cover Sheets;
3. Small Entity Declaration;
4. A copy of the Notice to File Missing Parts;
5. Letter to The Official Draftsperson;
6. Red-Lined Drawings;
7. Preliminary Amendment;
8. Information Disclosure Statement and Forms PTO-1449 and SB/08A;
9. One Copy of Each of the References Not Yet Cited;
10. Check in the amount of \$475.00; and
11. Self-addressed stamped return postcard.

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8)**

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Name Daniel H. Golub

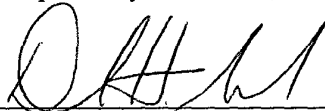
Signature 

Date of Deposit February 7, 2002

In addition, applicant notes that there was an erroneous reference to Application Serial No. 09/859,593, in the domestic priority data listed on the filing receipt. In response, applicant notes that the present application, among other things, claims domestic priority to Application Serial No. 09/859,693, filed May 17, 2001. Applicant notes that the attached Declaration and Power of Attorney form correctly refers to Application Serial No. 09/859,693, filed May 17, 2001, in its priority claim. In addition, applicant notes that the attached Preliminary Amendment has modified the priority claim at page 1, line 6 of the application, so as to correctly refer to Application Serial No. 09/859,693 filed May 17, 2001. Applicant respectfully submits that the priority claim to Application Serial No. 09/859,693, filed May 17, 2001 is being submitted within four months from the actual filing date of the above-referenced application, in compliance with 37 C.F.R. §1.78(a)(2).

The Commissioner is hereby authorized to charge any deficiencies or credit any overpayments in connection with this submission to Deposit Account No. 50-0310. A duplicate copy of this page is enclosed.

Respectfully submitted,



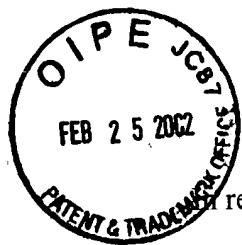
---

Daniel H. Golub  
Registration No. 33,701

MORGAN, LEWIS & BOCKIUS LLP  
1701 Market Street  
Philadelphia, Pennsylvania 19103-2921

215.963.5055

Dated: February 7, 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Shrenik Deliwala et al. :  
Serial No.: 09/991,371 : Attorney Docket No.: 053168-5003-02  
Filed: November 10, 2001 :  
For: ANISOTROPIC ETCHING OF OPTICAL :  
COMPONENTS :

**LETTER TO OFFICIAL DRAFTSPERSON**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Pursuant to M.P.E.P. § 608.02, enclosed please find the proposed changes to figures 5, 13, 20, 21, 22, 30, 47, 51, 52, 59, 60, 68A, 68B, 68C, 68D, 73, 74, 75, 76, 77, 78, 82, 83 and 88. No new matter has been added by way of this amendment.

If there are any remaining informalities with the drawings, Applicant hereby requests issuance of a Form PTO-948 identifying the informalities noted by the Official Draftsperson.

Respectfully submitted,

Daniel H. Golub  
Registration No. 33,701

MORGAN, LEWIS & BOCKIUS LLP  
1701 Market Street  
Philadelphia, PA 19103-2921  
215.963.5055

Dated: February 7, 2002

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8)**

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Name Daniel H. Golub Signature  Date of Deposit February 7, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,371	11/10/2001	Shrenik Deliwala	053168-5003-02

CONFIRMATION NO. 4467

## FORMALITIES LETTER



\*OC000000007167388\*

MORGAN, LEWIS & BOCKIUS LLP  
1701 Market Street  
Philadelphia, PA 19103-2921



Date Mailed: 12/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/01/2002 HMARZ11 00000042 09991371

01 FC:201  
02 FC:205370.00 op  
65.00 op